



## **1003(a) Planning Application – Tier I: Turnaround Academies**

### **Overview of Purpose, Process and Timeline:**

#### **Purpose**

1003(a) is a one year grant awarded to Title I Priority and Focus Schools. 1003(a) funds will be awarded to Local Education Agencies (LEAs) on behalf of these low-performing Title I-served schools. These funds are designed to support school improvement plans that take a new, innovative and systemic approach to improving student achievement and school quality.

- IDOE will dedicate an amount up to 70% of its 1003(a) school improvement funds for state board intervention activities from Title I, Part A funds
  - Indiana's preliminary Title I allocation for SY 2015-2016 is \$256,754,114.00
  - Four percent, or \$10,270,164.56, will be reserved for ALL SEA school improvement activities authorized under Section 1003(a) of No Child Left Behind for all Focus and Priority Schools.
- The State Board of Education will determine how to allocate a portion of that amount to schools to support assigned interventions, up to 70% of available 1003(a) funding for SY 2015-2016
  - Indiana will provide up to \$7,189,115.19 for state board intervention, from the four percent reserved for all SEA school improvement activities.
- IDOE will continue to use federal funds to support all Priority and Focus schools (as defined by USED) including those implementing a state board intervention, based on a tiered model of support

#### **Process**

- IDOE will identify available funding to be used for state board intervention in March 2015
- SBOE will determine how much funding will be allocated to the schools, based on a needs assessment of each school in April 2015 and notify IDOE of the grant amount requested up to \$7,189,115.19.
- A planning application must be completed and submitted to IDOE for review by May 1, 2015
  - The purpose of the planning application is to identify school-level funding for each school implementing a state board intervention and expedite final approval
  - The application will allow IDOE to review and provide technical assistance on how funding can be spent
  - IDOE will provide guidance on the intent and purpose of Title I and fiscal guidelines including supplement, not supplant
- A final application will be submitted and signed in June 2015
  - Final applications will be included in the Title I Application center, online, to facilitate the reimbursement of funds
- Funding for SY 2015-2016 will become available for schools to use on July 1, 2015 (this is a federal mandate)

#### **Indiana Department of Education's (IDOE) Role and Responsibilities**

- The IDOE will provide technical assistance to the LEAs and SBOE staff in submitting applications for 1003a grant funds.
- After applications and amendments are reviewed and approved for allowable activities and expenditures, the IDOE will release the funds on July 1, 2015, to the LEA for interventions.



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- As the SEA responsible for oversight of federal funds, the IDOE will monitor the implementation of grant programs and expenditures.
- The IDOE will continue to support school improvement initiatives of schools under SBOE intervention by conducting on-site visits of intervention schools and providing feedback to the LEAs and SBOE.
- The IDOE will collect monthly reports from each intervention school and share with SBOE staff.
- The IDOE will provide guidance on the Title 1 guidelines including supplement, not supplant.
- The intervention schools will have access to the professional development and technical assistance provided to our Focus and Priority Schools.

## Timeline

DATE	ACTIVITY
April 1, 2015	Begin planning for 2015
May 1, 2015	Planning applications submitted to IDOE for review
June 30, 2015	Final application submitted and approved
July 1, 2015	Funds become available for the 2015-2016 school year
November 2015 – May 2016	Amendment period
September 30, 2016	All funds must be expended

## 1003a Grant Requirements

SEA Authority

SEC. 1003. SCHOOL IMPROVEMENT.

(a) STATE RESERVATIONS- Each State shall reserve 2 percent of the amount the State receives under subpart 2 of part A for fiscal years 2002 and 2003, and 4 percent of the amount received under such subpart for fiscal years 2004 through 2007, to carry out subsection (b) and to carry out the State's responsibilities under sections 1116 and 1117, including carrying out the State educational agency's statewide system of technical assistance and support for local educational agencies.

(b) USES- Of the amount reserved under subsection (a) for any fiscal year, the State educational agency —

(1) shall allocate not less than 95 percent of that amount directly to local educational agencies for schools identified for school improvement, corrective action, and restructuring, for activities under section 1116(b);  
or

(2) may, with the approval of the local educational agency, directly provide for these activities or arrange for their provision through other entities such as school support teams or educational service agencies.

(c) PRIORITY- The State educational agency, in allocating funds to local educational agencies under this section, shall give priority to local educational agencies that —

(1) serve the lowest-achieving schools;

(2) demonstrate the greatest need for such funds; and

(3) demonstrate the strongest commitment to ensuring that such funds are used to enable the lowest-achieving schools to meet the progress goals in school



**1003a Grant Conditions**

Designed to support school improvement plans that take a *new, innovative and systemic approach to improving student achievement and school quality and meet all federally identified turnaround principles for one year.*

- Invest in sustainable **practices, policies, and procedures** that can be replicated
- Focus on **Intervention** vs. Remediation
- Expand **equitable** support to Title I Focus and Priority schools
- Ensure **conservative use of funds**
  - ✓ Determine a **funding cap** that creates sustainability
  - ✓ Establish a gradual **release** of funding for schools funded multiple years
- Promote a selected **menu of research based interventions** targeted to identified school improvement areas (early learning, literacy, leadership, positive school wide behavior, family/community involvement, etc.)
- Develop **school turnaround networks** on common issues and work (region, leadership, effective math programs, RTI, etc.)
- Maximize **monitoring and accountability** for short-term and long-term effectiveness

In order to expedite the 1003a grant review and approval process, ensure effective technical assistance and monitoring, and planning please complete the following information regarding the grant funding request.

**Grant Request Information**

<b>School:</b>	<b>Corp:</b>	<b>Funding Request Amount:</b>
<b>Principal:</b>	<b>Contact email:</b>	<b>Contact phone:</b>

**Please define the expected SMART goals directly associated with the funding request.**

**Please clearly describe the expected activities, expected outcomes, and measurable results associated with**



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the grant funding request.

**Budget History**

2011-2012	2012-2013	2013-2014	2014-2015	2015-2016 Request



**2015-2016 Proposed Budget**

Complete the following budget worksheet for SY 2014-2015. Budgets will be evaluated based on (a) allowable expenses and (b) alignment and use of other funding streams.

<b>School Year 2015-2016 Budget</b>												
Account Number	Expenditure Account	110	120	211-290	211-290	311-319	440	510-593	611-689	710-748	910	Line Totals
		Salary		Benefits		Prof. Service	Rentals	Other Purchase Services	General Supplies	Property	Transfer	
		Cert.	Noncert	Cert.	Noncert.							
11000	<b>Instruction</b>											
21000	<b>Support Services - Student</b>											
22100	<b>Improvement of Instruction (Professional Development)</b>											
22900	<b>Other Support Services</b>											
25191	<b>Refund of Revenue</b>											
26000	<b>Operation and Maintenance</b>											
27000	<b>Transportation</b>											
33000	<b>Community Service Operations</b>											
60100	<b>Transfers (interfund)</b>											
	<b>Column Totals</b>											
<b>Total Cost</b> _____												
<b>Principal Signature:</b>												
<b>Indiana State Board of Education Signature:</b>												



**IMAGINING**  
the possibilities.  
MAKING THEM HAPPEN.



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